

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2010-02-05

2. **Agency:** 006

3. **Bureau:** 30

4. **Name of this Investment:** BIS ECASS2000+

5. **Unique Project (Investment) Identifier (UPI):** 006-30-01-25-01-5501-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2001 or earlier

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Export Control Automated Support System (ECASS) 2000+ supports the Bureau of Industry and Security (BIS) performance goals to: 1) Maintain and strengthen an adaptable and effective U.S. export control and treaty compliance system, 2) Integrate non-U.S. actors to create a more effective global export control and treaty compliance system, and 3) Ensure continued U.S. technology leadership in industries that are essential to national security. The Bureau performance goals in turn support the Department of Commerce Strategic Goal: 1) Maximize U.S. competitiveness and enable economic growth for American industries, workers, and consumers, and the Department Strategic Objective: 1.2) Advance responsible economic growth and trade while protecting American security. The Bureau of Industry and Security's (BIS) Export Control Automated Support System (ECASS) 2000+ project goal is to replace the current twenty plus year old fragile ECASS Legacy mainframe system used to support Export Administration and Export Enforcement processes. The ECASS Redesign Project refers to the restarted ECASS 2000+ project. A historical chronology of events for the ECASS 2000+ project is included in Appendix A. In late 2009, the President announced a broad-based review of the overall U.S. export control system, with the aim of considering reforms to the system to enhance the national security, foreign policy, and economic security interests of the United States. A task force was convened to analyze, evaluate and put in place recommendations to support the Presidential directive. In 2010, the Secretary of Defense outlined the recommendations of the task force: 1. Transformation to a single commodity control list; 2. Creation of a single licensing agency; 3. Creation of a single enforcement-coordination agency; and 4. Creation of a single information technology (IT) system to manage export licensing. The Department of Commerce (DoC) fully supports task force's recommendation #4. In response to this recommendation, the previously defined ECASS-R development, modernization and enhancement activities were halted and have since been restructured to utilize the Department of Defense's USXPORTS license analyst system as the first step in the process of migrating to a single IT system for the export licensing agencies currently in the Departments of Commerce, State, Defense, Energy and Treasury.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the**

investment including links to GAO and IG reports.

Title	Link
NONE	

9.

a. Provide the date of the Agency's Executive/Investment Committee approval of this investment.

2008-03-26

b. Provide the date of the most recent or planned approved project charter. 2010-11-17

10. Contact information?

a. Program/Project Manager Name: *

Phone Number: *

Email: *

b. Business Function Owner Name (i.e. Executive Agent or Investment Owner):

Phone Number: *

Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”:

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	1330	DOCDG135107NC1292			*	*	\$3.6	Cost Plus Fixed Fee	N	2007-09-13	2011-10-31	Y	IT SUPPORT AND MAINTENANCE
Awarded	1330	BIS 08-0005 (SPAWARSYSCEN Charleston) (Interagency Agreement services to more than one investment)			*	*	\$4.0	Other (none of the above)	N	2009-01-09	2013-06-02	Not Available for Competition	Interagency Acquisition

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. This investment deals with 12c restricted data and thus would only work within a Private cloud. Other business processes are being investigated for transition to cloud computing technology.
3. Provide the date of the most recent or planned Quality Assurance Plan
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 006-30-01-25-02-5515-00
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2008-08-29
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-07-21

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Concept & Requirements Definition Phase	DME	*	\$1.7	\$1.7	2005-03-01	2005-03-01	2005-09-30	2005-09-30	100.00%	100.00%
FY08 Operations & Maintenance	SS	*	\$1.6	\$1.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
IMS-R Initiation Phase	DME	*	\$0.4	\$0.4	2006-12-18	2006-12-18	2007-04-15	2007-04-15	100.00%	100.00%
FY09 Operations & Maintenance	SS	*	\$4.2	\$4.5	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
S3V1 Development	DME	*	\$2.0	\$1.2	2009-10-01	2009-10-01	2010-03-31	2010-03-31	100.00%	100.00%
IMS-R Acceptance Test & Deployment	DME	*	\$0.5	\$0.5	2008-05-01	2008-07-01	2008-09-30	2008-10-06	100.00%	100.00%
IMS-R Detailed Analysis & Design	DME	*	\$0.4	\$0.4	2007-08-31	2007-08-31	2008-01-08	2008-01-08	100.00%	100.00%
SNAP+ Help Desk/O & M	DME	*	\$0.4	\$0.4	2001-10-01	2001-10-01	2003-09-30	2003-09-30	100.00%	100.00%
FY02 Operations and Maintenance	SS	*	\$0.1	\$0.1	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
S3V1 Concept and Requirements Definition	DME	*	\$1.5	\$1.4	2008-10-01	2008-10-01	2009-05-31	2009-05-31	100.00%	100.00%
Case Management Module	DME	*	\$1.1	\$1.1	2002-01-14	2002-01-14	2003-01-30	2003-01-30	100.00%	100.00%
O&M Security	SS	*	\$0.3	\$0.3	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Original ECASS 2000+ tasks	DME	*	\$6.8	\$6.8	2000-03-29	2000-03-29	2004-09-30	2004-09-30	100.00%	100.00%

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FY06 Operations & Maintenance	SS	*	\$0.8	\$0.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY07 Operations & Maintenance	SS	*	\$1.0	\$1.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
IMS-R Development & Test	DME	*	\$0.9	\$0.9	2008-01-09	2008-01-09	2008-04-30	2008-04-30	100.00%	100.00%
Migration Database & Reporting System	DME	*	\$1.1	\$1.1	2006-09-01	2006-09-01	2006-12-15	2006-12-15	100.00%	100.00%
Stage 1, V1.0 SNAP-R 1.1 Development and Test	DME	*	\$1.8	\$1.8	2006-01-01	2006-01-01	2006-10-30	2006-10-30	100.00%	100.00%
S3V1 Deployment Phase	DME	*	\$2.0	\$0.0	2010-07-01		2010-09-30		100.00%	0.00%
S3V1 Integrate & Test Phase	DME	*	\$2.0	\$0.3	2010-04-01	2010-04-01	2010-06-30		100.00%	17.00%
IMS-R Concept & Requirements	DME	*	\$1.1	\$1.1	2007-04-16	2007-04-16	2007-08-30	2007-08-30	100.00%	100.00%
FY03 Operations and Maintenance	SS	*	\$0.5	\$0.5	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Initiation Phase	DME	*	\$0.2	\$0.2	2004-12-13	2004-12-13	2005-02-23	2005-02-23	100.00%	100.00%
FY 07 Security and Certification & Accreditation	DME	*	\$2.5	\$2.5	2007-01-01	2007-01-01	2007-07-29	2007-07-29	100.00%	100.00%
Detailed Design & Analysis	DME	*	\$0.7	\$0.7	2005-10-01	2005-10-01	2006-04-28	2006-04-28	100.00%	100.00%
FY10 Operations & Maintenance	SS	*	\$2.5	\$5.1	2009-09-01	2009-10-01	2010-09-30		100.00%	83.00%
S3V1 Detailed Analysis & Design Phase	DME	*	\$0.9	\$0.8	2009-06-01	2009-06-01	2009-09-30	2009-09-30	100.00%	100.00%
Stage 1, V2.0	DME	*	\$0.5	\$0.5	2007-04-01	2007-04-01	2007-08-06	2007-08-06	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
SNAP-R 1.2 TRR/PRR										
S3V2 Initiation Phase	DME	*	\$2.0	\$0.0	2010-07-01		2010-09-30		100.00%	0.00%
FY04 Operations and Maintenance	SS	*	\$0.9	\$0.9	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY 05 Operations and Maintenance	SS	*	\$0.7	\$0.7	2004-10-01	2005-10-01	2005-09-30	2006-09-30	100.00%	100.00%
BECCI2 (Design, Development & Deployment)	DME	*	\$2.0	\$2.0	2007-10-01	2007-10-01	2008-09-30	2008-10-06	100.00%	100.00%
Stage 1, V1.0 SNAP-R 1.1 Development and Test	DME	*	\$1.8	\$0.0	2006-01-01		2006-10-30		0.00%	0.00%
FY11 Operations & Maintenance for CAULS Production Application Systems	SS	*	\$1.1	\$0.9	2010-10-01	2010-10-18	2011-09-30		50.00%	50.00%
FY11 OMB Export Control Reform (ECR) Plan Approval	DME	*	\$0.0	\$0.0	2010-10-15	2010-10-15	2010-10-18	2010-11-01	100.00%	100.00%
FY11 ECR Online Registration SubSystem Development in CAULS	DME	*	\$0.4	\$0.4	2010-10-18	2010-10-18	2011-02-28	2011-02-09	100.00%	100.00%
FY11 ECR Requirements Development - Funds Transfer to DOD/DTSA	DME	*	\$0.4	\$0.4	2010-10-18	2010-10-18	2010-11-01	2010-11-02	100.00%	100.00%
FY11 ECR USXPORTS	DME	*	\$0.2	\$0.2	2010-10-18	2010-11-11	2011-02-28	2011-03-18	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Migration Requirements										
FY11 BIS SIPRnet Setup and configuration at Headquarters (HCHB)	DME	*	\$1.1	\$1.7	2011-03-18	2011-03-09	2011-09-30		15.00%	18.00%
FY11 ECR Party Coding Development & Deployment for BIS/CAULS	DME	*	\$0.5	\$0.1	2011-03-18	2011-03-18	2011-07-31		10.00%	10.00%
FY11 ECR CAULS Development Activities (SCL, LD, IF) for BIS/CAULS	DME	*	\$0.5	\$0.0	2011-04-04	2011-04-04	2011-09-30		8.00%	10.00%
FY11 ECR Funds Transfer to DOD/DTSA for USXPORTS Modifications and Deployment	DME	*	\$1.1	\$0.9	2011-04-04	2011-04-04	2011-04-08		90.00%	90.00%
FY12 ECR CAULS Development for USXPORTS (Party coding, SNAP-R, IMS-R, CCATS, Interfaces)	DME	*	\$1.1	\$0.0	2011-10-01		2011-12-31		0.00%	0.00%
FY12 Operations & Maintenance for CAULS Production Application Systems	SS	*	\$2.1	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
FY12 BIS	DME	*	*	*	2012-01-01	*	2012-03-31	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
SIPRnet Setup in remote Field Offices										
FY12 ECR USXPORTS/CAU LS Integration Testing	DME	*	*	*	2012-03-01	*	2012-05-30	*	*	*
FY12 ECR CAULS Certification & Accreditation (C&A)	DME	*	*	*	2012-04-01	*	2012-06-30	*	*	*
FY12 ECR USXPORTS/CAU LS IOC Final Migration & Deployment	DME	*	*	*	2012-06-01	*	2012-06-30	*	*	*
FY13 ECR CAULS Enhancements/Development	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 ECR IT Security Certification & Accreditation Activities	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 ECR Operations & Maintenance for CAULS Production Application Systems	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 ECR USXPORTS Enhancements/Development - Funding for DOD/DTSA	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
USXPORTS Development										
FY11 ECR Office of Antiboycott SubSystem Development for BIS/CAULS	DME	*	\$0.3	\$0.2	2010-10-18	2010-10-18	2011-04-30	2011-04-18	90.00%	98.00%
FY12 ECR Office of Antiboycott Form 6051P Development	DME	*	\$0.2	\$0.0	2011-08-01		2012-02-29		0.00%	0.00%

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-02-15

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Technology	IT Contribution to Process, Customer, or Mission	Ability of BIS Export Enforcement to effectively complete their mission depends on an Investigative Management System that is scalable and easy to maintain due to compliance with Information technology standards built on best practices	annual	yes no	increase	Current IMS is locked into an outdated technology platform that has serious scalability, maintainability and security limitations based on Legacy Oracle forms and Oracle 8 database platform.	2007-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Deliver a beta ready redeveloped version of IMS-R built on a multi-tiered application architecture using J2EE and Oracle 10.	Beta ready redeveloped version of IMS-R available 7/31/2008.	Met	2010-09-23
Technology	External Data Sharing	Ability to exchange data with other agencies necessary for license application interagency review electronically.	annual	yes no	increase	Currently data exchange is handled through manual processes including copying, scanning and faxing.	2006-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	The ability to handle data exchange electronically will exist.	In FY07 with the deployment of SNAP-R data exchange can be handled electronically. External agencies can access SNAP-R directly through their web	Met	2010-09-23

Mission and Business Results	Workplace Policy Development and Management	ability to process higher number of applications; more complex applications with same level of staff.	annual	hours	decrease	Improve timely processing needed to accomplish case management tasks.	2004-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	10% decrease in the number of hours needed to accomplish case management tasks.	10% decrease was achieved on scope of the export enforcement cases as defined when this measure was created in FY 2003; however, the complexity of cases has increased with technological change that each individual case is greater overall scope.	Met	2010-09-23
Customer Results	Customer Impact or Burden	Ability to reduce BIS staff management of License applications paperwork (supporting documents).	annual	percentage	increase	Currently 95% of export license submissions are submitted electronically; supporting paper documents are processed manually and cannot be submitted electronically.	2006-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Annually increase by 20% the number of supporting documents electronically.	As of FY07 supporting documents can be submitted electronically. Electronically submitted supporting documents now accompany 85% of license applications.	Met	2011-02-24
Processes and Activities	Security	Annual testing of the BIS020 (ECASS-R)	annual	yes no	maintain	BIS020 (ECASS-R) Contingency Plan tested	2007-09-01

Contingency Plan.			7/31/2007.				
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Test BIS020 (ECASS-R) Contingency Plan before 9/30/2008.	Contingency Plan Tested 5/8/2008.	Met	2010-09-23
Processes and Activities	Security	Annual testing of the BIS020 (ECASS-R) Contingency Plan.	annual	yes no	maintain	BIS020 (ECASS-R) Contingency Plan tested 5/8/2008.	2008-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Test BIS020 (ECASS-R) Contingency Plan before 9/30/2009.	ECASS-R Contingency Plan tested on 6/17/2009.	Met	2010-09-23
Processes and Activities	Security	Annual testing of the BIS020 (ECASS-R) Contingency Plan.	annual	yes no	maintain	BIS020 (ECASS-R) Test Contingency Plan before 9/30/2010.	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Test BIS020 (ECASS-R) Contingency Plan before 9/30/2011.		Not Due	2010-09-23
Processes and Activities	Security	Annual testing of the BIS020 (ECASS-R) Contingency Plan.	annual	yes no	maintain	Test BIS020 (ECASS-R) Contingency Plan before 9/30/2011.	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	Test BIS020 (ECASS-R) Contingency Plan before 9/30/2012.		Not Due	2010-09-23
Technology	Standards Compliance and Deviations	Annual testing of the BIS020 (ECASS-R) Security Controls.	annual	yes no	maintain	Test BIS020 (ECASS-R) Security Controls before 9/30/2011.	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

			2012	Test BIS020 (ECASS-R) Security Control before 9/30/2012.		Not Due	2010-09-23
Processes and Activities	Security	Annual testing of the ECASS-R Contingency Plan.	annual	yes no	maintain	Contingency Plan tested 6/17/2009.	2009-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	Test Contingency Plan before 9/30/2010.		Not Due	2010-09-23
Technology	IT Contribution to Process, Customer, or Mission	Complete Redesigned Investigative Management System	annual	yes no	increase	Beta ready redeveloped version of IMS-R available 7/31/2008.	2008-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Production Deployment of IMS-R October 2008.	IMS-R deployed October 6, 2008.	Met	2010-09-23
Customer Results	Customer Complaints	Decrease risk of irrecoverable data loss in existing legacy system; migration of data, and ability to generate 10 most critical reports from migration data base.	annual	ordinal number	increase	0 Reports generated from migration data base (commercial standards based reporting tool)	2004-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2005	demonstrate production pilot ability to generate (replace and therefore mitigate risk) 10 most critical ECASS reports generated in production pilot; 5% of total 180 critical report baseline	Completed. Achieved 10% (20 reports)	Met	2010-09-23
Customer Results	Customer Complaints	Decrease risk of irrecoverable data loss in existing legacy system; migration of data, and	annual	percentage	increase	5% of critical reports (10)	2004-09-01

ability to generate 50%
of 180 critical reports
from migration data base

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2006	25% of critical reports (50)	Completed December 2005, ECASS-R Stage 1, Version 1.0 Migration Data Base Proof-of-concept production pilot	Met	2011-02-24

Technology	Technology Improvement	ECASS-R system should be user-friendly and easy to use.	annual	yes no	increase	Current Search feature is difficult to use, and does not allow global search capability.	2008-09-01
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	Implement improved global search capability.	Project did not receive requested funding therefore the global search feature has been re-planned for a future release.	Not Met	2010-09-23

Processes and Activities	Security	FISMA Compliancy required of all ECASS-R subsystems.	annual	yes no	maintain	SNAP sub-system target for replacement FY2007.	2006-09-01
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2007	SNAP-Redesign (SNAP-R) sub-system will be FISMA compliant.	In FY07 with the deployment of SNAP-R FISMA compliancy regulations were met.	Met	2010-09-23

Mission and Business Results	Information Security	Implement Improved IT Security Infrastructure to meet more stringent 800-53A requirements.	annual	yes no	maintain	Certification and Accreditation of ECASS-R meets 800-53 requirements.	2006-09-01
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
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			2007	Certification and Accreditation of ECASS-R will meet 800-53A requirements in FY07.	In July 2007 the ECASS-R Certification and Accreditation compliant with 800-53A was completed and delivered.	Met	2010-09-23
Technology	Standards Compliance and Deviations	Meet FIPS 800-53A requirement to Certify and Accredite BIS020 (ECASS-R) every 3 years.	annual	yes no	maintain	Certification and Accreditation of BIS020 (ECASS-R) completed 7/31/2007.	2009-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	Re-Certify and Accredite ECASS-R BIS020 (ECASS-R) before 9/30/2010.		Not Due	2010-09-23
Technology	Standards Compliance and Deviations	Meet FIPS 800-53A requirement to Certify and Accredite the ECASS-R system every 3 years.	annual	yes no	maintain	Certification and Accreditation of ECASS-R meets 800-53 requirements.	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Re-Certify and Accredite sub-system IMS-R per FISMA timetable every 3 years.		Not Due	2010-09-23
Mission and Business Results	Global Trade	Number of actions that result in a deterrence or prevention of a violation and cases which result in a criminal and/or administrative charge.	annual	ordinal number	maintain	881	2008-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	850	876	Met	2010-09-23

Mission and Business Results	Global Trade	Number of actions that result in a deterrence or prevention of a violation and cases which result in a criminal and/or administrative charge.	annual	ordinal number	increase	850	2009-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	912		Not Due	2010-09-23
Mission and Business Results	Global Trade	Number of actions that result in a deterrence or prevention of a violation and cases which result in a criminal and/or administrative charge.	annual	ordinal number	increase	912	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	1157		Not Due	2010-09-23
Mission and Business Results	Global Trade	Number of actions that result in a deterrence or prevention of a violation and cases which result in a criminal and/or administrative charge.	annual	ordinal number	maintain	1157 actions and cases	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	Target to be determined.		Not Due	2010-09-23
Customer Results	Customer Impact or Burden	Percent of licenses requiring interagency referral referred within nine days.	annual	percentage	increase	98%	2008-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated

			2009	95%	99%	Met	2010-09-23
Customer Results	Customer Impact or Burden	Percent of licenses requiring interagency referral referred within nine days.	annual	percentage	increase	95%	2009-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	95%		Not Due	2010-09-23
Customer Results	Customer Impact or Burden	Percent of licenses requiring interagency referral referred within nine days.	annual	percentage	maintain	95%	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	95%		Not Due	2010-09-23
Customer Results	Customer Impact or Burden	Percent of licenses requiring interagency referral referred within nine days.	annual	percentage	increase	95%	2010-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	Target to be determined.		Not Due	2010-09-23
Mission and Business Results	Global Trade	Reduce processing time for dual-use license applications.	annual	days	decrease	FY06 average processing time including full interagency review was 33 days.	2006-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Reduce average processing time by 10% which would result in a	FY07 average processing time for all cases including those	Met	2010-09-23

3.3 day reduction.

requiring interagency
review was 28 days. A
greater than 10%
reduction.

Technology	IT Contribution to Process, Customer, or Mission	Respond to FY07/08 Cyber-espionage threat with compartmentalized high, moderate, and low security Compartmentalized Application Infrastructure (CAI) to secure mission critical export control applications and data.	annual	yes no	increase	BIS does not already have compartmentalized systems in place because BIS systems are Sensitive But Unclassified.	2007-09-01
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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Deliver a beta ready version of BECCI2 the high side of the CAI.	Beta ready version of BECCI2 available 7/31/2008.	Met	2010-09-23

Technology	IT Contribution to Process, Customer, or Mission	Respond to FY07/08 Cyber-espionage threat with compartmentalized high, moderate, and low security Compartmentalized Application Infrastructure (CAI) to secure mission critical export control applications and data.	annual	yes no	increase	Beta ready developed version of BECCI2 available 7/31/2008.	2008-09-01
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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	Production Deployment of BECCI2 October 2008.	BECCI2 deployed October 6, 2008.	Met	2010-09-23

Customer Results	Customer Impact or Burden	Support 10% improvements in Export Enforcement License determinations and pre-license checks.	annual	days	decrease	Currently average processing time for EE license determinations is 52 days.	2007-09-01
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			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
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Mission and Business Results	Global Trade	Support 10% improvements in Export Enforcement License determinations and pre-license checks.	2008	Improve by 10% average processing time for EE license determinations.	Average processing time improved by more than 10% (to 32 days)	Met	2010-09-23
			annual	days	decrease	Currently 50% of pre-license checks are processed in less than or equal to 45 days.	2008-09-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	Improve by 10% number of pre-license checks processed in 45 days.	Average processing time improved by more than 10% to 36 days.	Met	2010-09-23

* - Indicates data is redacted.